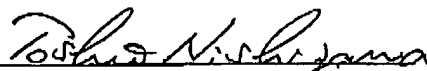


## CERTIFICATE

I, Toshio NISHIZAWA, a citizen of Japan, residing at KUDAN-HORIE BLDG. 6F, 4-3-14, KUDAN-KITA, CHIYODA-KU, TOKYO, JAPAN, hereby certify that I am conversant with the English and Japanese language, and I further certify that to the best of my knowledge and belief the foregoing is a true and correct English translation of the Japanese Patent Application No. 2003-017866 and No. 2003-120461, attached hereto.

Signed this 27<sup>th</sup> day of November, 2009

A handwritten signature in cursive script, appearing to read "Toshio Nishizawa", is written over a horizontal line.

Toshio NISHIZAWA

[DOCUMENT NAME] Specification

[TITLE OF THE INVENTION] COPPER OXIDE THIN FILM LOW-FRICTION MATERIAL AND FILM-FORMING METHOD THEREFOR

[CLAIMS]

1. A film-forming method for a copper oxide thin film low-friction material, wherein a copper oxide thin film mainly containing CuO is formed on a substrate for deposition in vacuum by plasma deposition in a condition that an oxygen gas concentration in a mixed gas of rare gas and oxygen gas which are introduced is controlled in the range from 0 to 85 % as partial pressure.

2. The film-forming method according to Claim 1, wherein the copper oxide thin film is formed in a condition that the oxygen gas concentration is controlled in the range from 3 to 20 % as partial pressure.

3. The film-forming method according to Claim 1 or 2, wherein the crystal of the copper oxide thin film is oriented.

4. The film-forming method according to any one of Claims 1 to 3, wherein the copper oxide thin film is formed by plasma sputtering by using CuO as a target.

5. A copper oxide thin film low-friction material which is formed on a substrate, characterized by containing mainly CuO in its composition and having friction coefficients of 0.06 or less both in the atmosphere and in vacuum at  $3 \times 10^{-5}$  Pa.

6. The copper oxide thin film low-friction material according to Claim 5, wherein the copper oxide thin film is formed by plasma deposition.

7. The copper oxide thin film low-friction material according to Claim 5 or 6, wherein the crystal of the copper oxide thin film is oriented.

8. A sliding device, characterized by having a sliding face coated with the copper oxide thin film low-friction material according to any one of Claims 5 to 7.

**[DETAILED DESCRIPTION OF THE INVENTION]****[TECHNICAL FIELD OF THE INVENTION]**

The invention of the present application relates to a copper oxide thin film low-friction material and a method of forming the film. In particular, the invention of the present application relates to a copper oxide thin film low-friction material having a friction coefficient in the atmosphere or under ultra-high vacuum controlled to a small value, and a method of forming the film.

**[BACKGROUND ART AND SUBJECT TO BE SOLVED BY THE INVENTION]**

Mechanical parts for the turbines revolving at a high speed under a high-temperature, high-humidity condition and the apparatuses and devices driven in an extreme environment such as the driving devices in space station should be made of a material lower in friction, and such environments cause problems of oxidation of the parts due to exposure to the high-temperature high-humidity condition and increase of the friction coefficient of the parts due to collision of atomic oxygen to the parts (oxidative degradation).

Use of an oxide-based low-friction material may be a possible way to overcome the problems above, but there is actually, almost no such oxide material available.

For example, copper oxide, which is prepared from a cheap raw material and easier in handling, has a high friction coefficient of approximately 0.2 or more in the atmosphere and in vacuum even if it is formed by surface oxidation, and thus, it is considered to be difficult to use it as a low-friction material. Actually, the friction coefficients thereof so far reported are larger at 1.6 in the atmosphere and 0.4 in vacuum (Document 1).

However, a low-friction oxide thin film material, if made available, can be prepared from an extremely cheap raw material and is free from the concern about the increase in friction coefficient due to oxidation because it is already oxidized, and

thus would be used favorably in various fields including the power generation and aerospace fields.

[Document 1] J. R. Whitehead, Proc. Roy. Soc A210 (1850) 109

An object of the invention of the present application, which was made under the circumstances above, is to provide a new technical means of facilitating formation of a thin film having a low friction coefficient.

[MEANS TO SOLVE THE SUBJECT]

To solve the problems above, the invention of the present application provides first a film-forming method for a copper oxide thin film low-friction material, wherein a copper oxide thin film mainly containing CuO is formed on a substrate for deposition in vacuum by plasma deposition in a condition that an oxygen gas concentration in a mixed gas of rare gas and oxygen gas which are introduced is controlled in the range from 0 to 85 % as partial pressure.

The invention of the present application also provides secondly the method of forming a copper oxide thin film low-friction material above, wherein the copper oxide thin film is formed in a condition that the oxygen gas concentration is controlled in the range from 3 to 20 % as partial pressure; thirdly the film-forming method above wherein the crystal in the copper oxide thin film is oriented; and fourthly the film-forming method above wherein the copper oxide thin film is formed by plasma sputtering.

The invention of the present application also provides fifthly a copper oxide thin film low-friction material formed on a substrate, characterized by containing mainly CuO in the composition and having friction coefficients of 0.06 or less both in the atmosphere and in vacuum at  $3 \times 10^{-5}$  Pa; sixthly, the copper oxide thin film low-friction material above, wherein the copper oxide thin film is formed by plasma deposition; seventhly the copper oxide thin film low-friction material above, wherein the crystal of the copper oxide thin film is oriented; and eighthly a sliding device,

characterized by having a sliding face coated with the copper oxide thin film low-friction material above.

Although copper oxide had attracted no attention as a low-friction material because it has a large friction coefficient in the atmosphere and in vacuum, the inventors of the present application have studied in detail on the relationship of the friction property thereof with the change in the composition of three components, CuO, Cu<sub>2</sub>O, and Cu, during plasma deposition and with the change in structural factors such as the direction of crystal growth and the crystallinity determined by X-Ray structural analysis. The invention of the present application is completed on the basis of the findings obtained from the results by such detailed studies. The invention of the present application provides a copper oxide thin film having a low friction coefficient that cannot be expected at all from conventional knowledge and experience. Noteworthy is that it is possible to obtain a copper oxide thin film having an extremely low-level friction coefficient of, for example, 0.06 or less, both in the atmosphere and in ultra-high vacuum environment such as aerospace. The copper oxide thin film having such a low friction coefficient is extremely useful as a coating agent for use on the sliding face of precision devices, aerospace-related devices, and the like. In addition, the invention of the present application also enables adjustment of various properties such as electrical and optical properties as well as the friction property.

#### [MODE FOR CARRYING OUT THE INVENTION]

Favorable embodiments of the invention of the present application, which is characterized above, will be described below.

By the invention of the present application, i.e., a method of forming a copper oxide thin film, a copper oxide thin film mainly containing CuO is formed on a substrate for deposition, by gas-phase plasma deposition.

The plasma deposition is performed by a so-called low-temperature plasma

deposition method under reduced pressure (vacuum) such as sputtering (sputter) or ion plating, which is known and commonly practiced in the art, and more specifically such as magnetron sputter, high-frequency excitation ion plating, or the like. When an evaporation source material is used, it may be evaporated, for example, by resistance heating or ion beam irradiation. Alternatively, a laser ablation method may be used.

For example, in the method of forming a copper oxide thin film according to the invention of the present application, the copper oxide thin film is formed in vacuum by employing the plasma deposition method described above. The degree of vacuum then is considered to be normally in the range of  $1 \times 10^{-3}$  to  $1 \times 10^{-8}$  Pa and more favorably  $1 \times 10^{-4}$  to  $1 \times 10^{-6}$  Pa.

After a vacuum chamber for plasma deposition is deaerated to the degree of vacuum above, plasma is discharged for plasma deposition, while a mixed gas of a rare gas and oxygen is introduced into the chamber. In the present invention, a concentration of oxygen in the mixed gas is controlled to be in the range from 0 to 85 % as partial pressure. The upper limit of 85 % are selected to make the copper oxide thin film formed have a friction coefficient of not higher than 0.1 in the atmosphere and in vacuum. If the concentration of oxygen exceeds 85 %, there is a possibility that a friction coefficient becomes to be 0.1 or more, and in particular, there is a tendency that the friction coefficient becomes larger in vacuum condition, which is undesirable.

The oxygen concentration may be 0%, that is, only a rare gas may be used, but the oxygen concentration is preferably, for example, in the range of 3 to 20% as partial pressure, because the copper oxide thin film thus obtained has friction coefficients of 0.06 or less both in the atmosphere and in vacuum, in particular under an indicator vacuum of  $1 \times 10^{-5}$  Pa, and the difference between the friction coefficients above is smaller.

The copper oxide thin film according to the invention of the present

application, which has a significantly low friction coefficient, contains mainly CuO in its composition. The film may contain Cu<sub>2</sub>O and Cu additionally, but preferably contains CuO in an amount of 90 mole% or more; and more preferably, the film is substantially made only of CuO in composition. Characteristically, the CuO thin film according to the invention of the present application has an anisotropic crystal structure and a higher tendency to orient itself on the substrate.

When the above oxygen concentration exceeds 85%, although the orientation is maintained, it has been confirmed that the directional orientation, the ratio of the oriented crystal components, etc. are changed.

The rare gas introduced into the vacuum chamber together with an oxygen gas may be argon, helium, xenon, krypton, or the like.

In addition, the substrate according to the invention of the present application may be any of conductors, insulators, and semiconductors, and the shape thereof may also be flat plate, curved plate, irregular-surfaced irregular-shaped plate, or the like.

A typical favorable example of the method is a plasma sputter method, and, for example, CuO is then used as the target.

The invention of the present application, which is characterized as described above, will be described more specifically with reference to Example hereinafter, but it should be understood that the invention is not restricted by the following Example.

In the invention of the present application and also in the description of the following Examples, the friction coefficient is measured according to the known method described in M. Goto et al., J. Vac. Sci. Technol. A20 (4) (2002) 1453.

#### Examples

Magnetron sputter deposition was performed on the mirror-surfaced surface of an SUS304 stainless steel substrate commonly used (surface roughness: about 40 nm) by using CuO as a target. The conditions then were as follows:

Sputter target: CuO: 99.9% purity

Vacuum:  $1 \times 10^{-5}$  Pa

RF power: 100 W

Substrate temperature: 300 K

Pre-sputtering period: 5 minutes

Sputtering period: 30 minutes

Copper oxide thin films were formed under the conditions above, while the content of oxygen in the mixed gas of argon and oxygen was altered in the range of 0 to 100% as partial pressure.

FIG. 1 exemplifies X-Ray diffraction spectra of the thin films obtained when the oxygen concentrations (partial pressure %) were respectively (a) 0%, (b) 6%, (c) 35%, and (d) 100%. FIGS. 2 and 3 exemplify the change in the friction coefficients of a copper oxide thin film in the atmosphere and under ultra-high vacuum, respectively when a stainless steel indenter and a sapphire indenter were used.

As apparent from FIGS. 1, 2, and 3, the crystal structure of CuO varies according to the oxygen concentration, and it is possible to obtain a drastically lower friction coefficient, depending on the degree of the anisotropy in the orientation of the film generated on the substrate.

FIGS. 4 and 5 exemplify the relationship between the average friction coefficient in ten friction cycle tests and the O<sub>2</sub> concentration in the sputtering gas (Ar+O<sub>2</sub>), respectively as determined by using a stainless steel indenter and a sapphire indenter.

As apparent from FIGS. 4 and 5, the friction coefficients were 0.06 or less both in the atmosphere and in vacuum at  $1 \times 10^{-5}$  Pa, at an O<sub>2</sub> gas partial pressure of 85% or less, and there is almost no difference between the friction coefficients in the atmosphere and in vacuum, particularly at an oxygen partial pressure in the range of 3 to 20%.



## [EFFECT OF THE INVENTION]

As described above in detail, the invention of the present application provides a method of forming a copper oxide thin film having a friction coefficient controlled to an extremely small value.

The method of forming a copper oxide thin film according to the invention of the present application provides for the first time a copper oxide thin film having a small friction coefficient in the atmosphere and under ultra-high vacuum and allows control of the friction coefficient at will by changing the composition and the structure of the copper oxide thin film formed. Therefore, it would be effective in producing a coating material for use, for example, on turbines, vacuum breakers, and aerospace driving materials in the power generation field as well as on aerospace parts. In addition, the copper oxide thin film according to the invention of the present application, which is resistant to the increase in friction coefficient due to oxidation, can be used as a longer-lasting low-friction material, and it is also possible to form multiple copper oxide thin films different in friction coefficient according to applications, and for that reason, there is an intense need for commercialization thereof as a coating material.

## [Brief Description of Drawings]

FIG. 1 shows X-Ray diffraction spectra obtained at different oxygen concentrations.

FIG. 2 includes charts exemplifying the change of the friction coefficient of a copper oxide thin film in the atmosphere and under ultra-high vacuum when a stainless steel indenter is used.

FIG. 3 includes charts exemplifying the change of the friction coefficient of a copper oxide thin film in the atmosphere and under ultra-high vacuum when a sapphire indenter is used.

FIG. 4 is a chart exemplifying the change of the average friction coefficient as

determined in ten friction cycle tests by using a stainless steel indenter, as a function of oxygen concentration.

FIG. 5 is a chart exemplifying the change of the average friction coefficient as determined in ten friction cycle tests by using a sapphire indenter, as a function of oxygen concentration.

**[DOCUMENT NAME]** Abstract

**[SUBJECT]** To provide a method for film-forming on a substrate in which the friction coefficient of the copper oxide thin film can be controlled remarkably low.

**[MEANS TO SOLVE THE SUBJECT]** A film-forming method for a copper oxide thin film low-friction material, wherein a copper oxide thin film mainly containing CuO is formed on a substrate for deposition in vacuum by plasma deposition in a condition that an oxygen gas concentration in a mixed gas of rare gas and oxygen gas which are introduced is controlled in the range from 0 to 85 % as partial pressure.

**[SBLECTED FIGURES]** Fig. 4

Fig.1

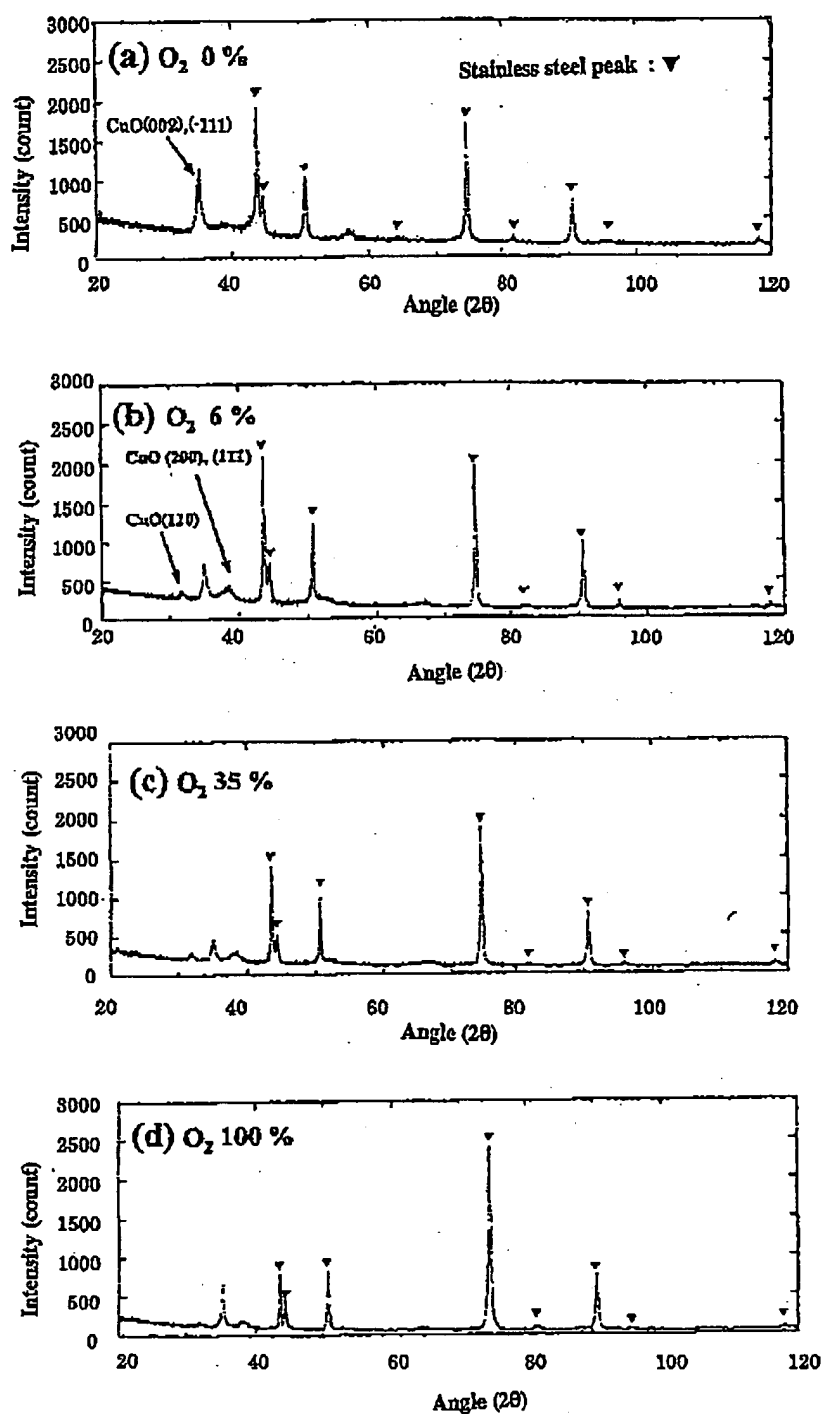


Fig.2

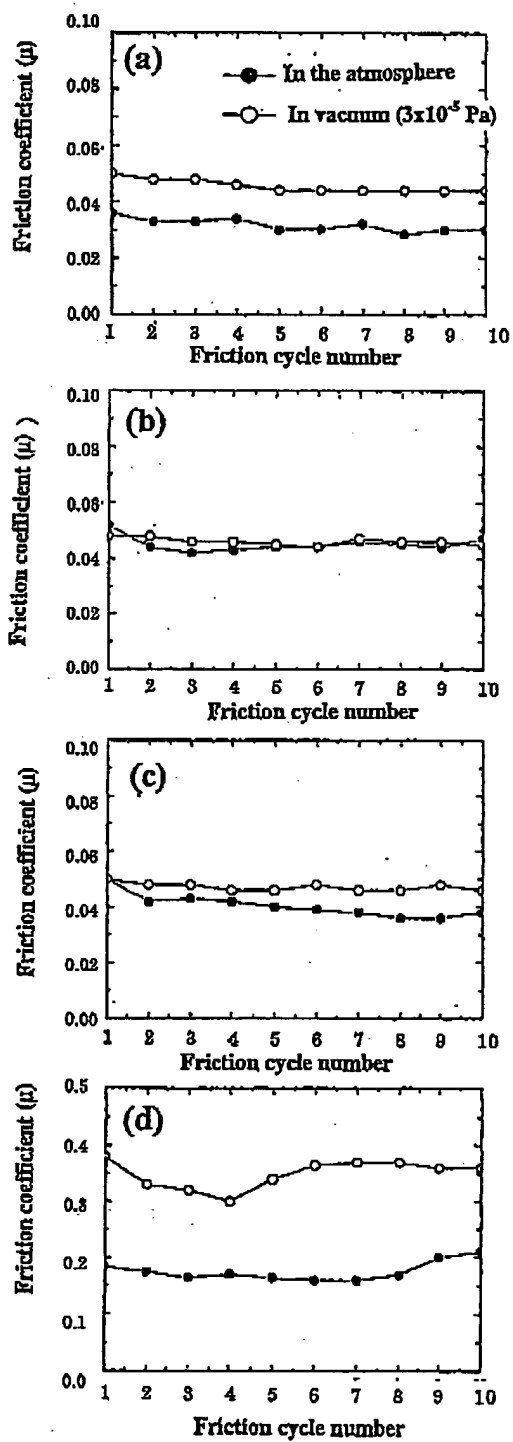


Fig.3

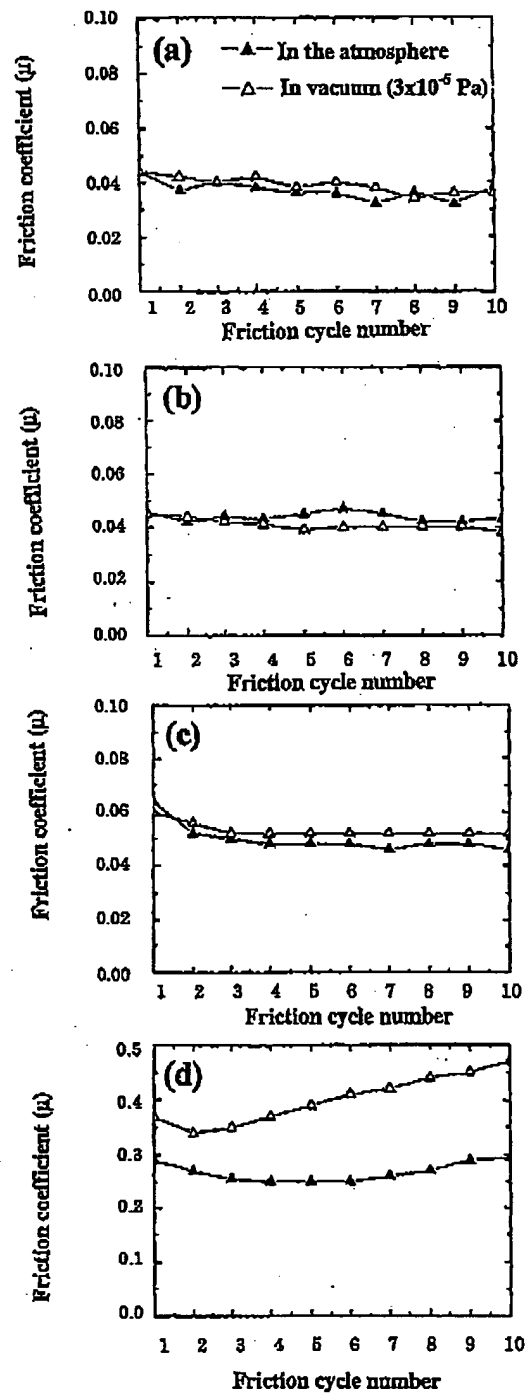


Fig.4

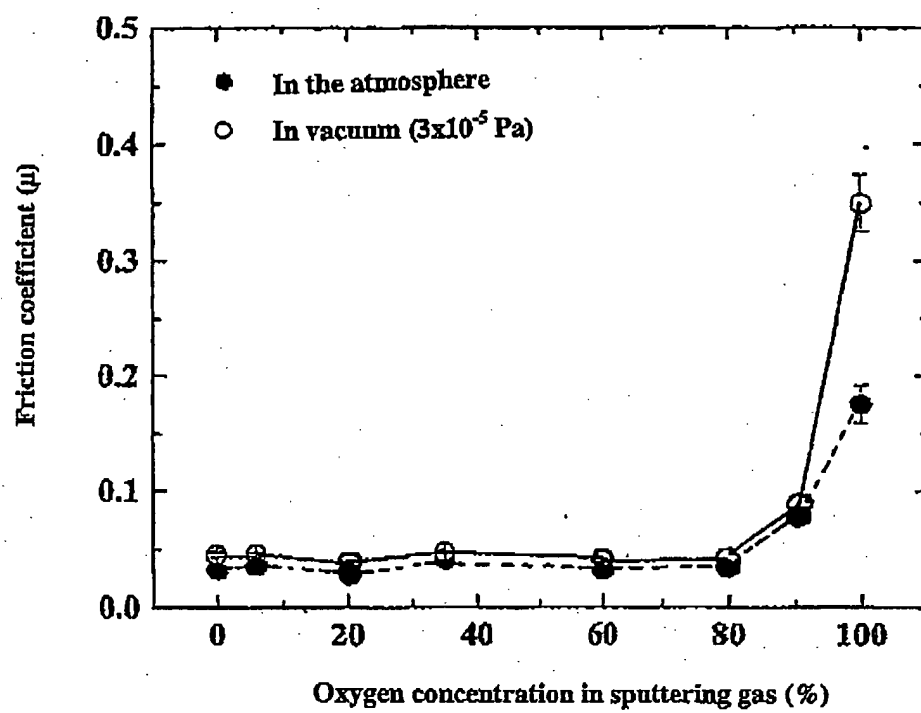


Fig.5

